

# Classification of Irreducible Integrable Modules for Multi-loop Algebras with Finite-Dimensional Weight Spaces

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## INTRODUCTION

The purpose of this paper is to classify irreducible integrable modules for multi-loop algebras. Let  $V$  be a vector space over the complex numbers  $\mathbb{C}$ . Let  $A = \mathbb{C}[t_1^{\pm}, \dots, t_n^{\pm}]$  be the Laurent polynomials ring in  $n$  variables. Let  $V_A = V \otimes A$  and let  $v(\underline{m}) = v \otimes t^{\underline{m}}$  for  $\underline{m} = (m_1, \dots, m_n) \in \mathbb{Z}^n$  and  $t^{\underline{m}} = t_1^{m_1} t_2^{m_2} \dots t_n^{m_n}$ .

Let  $\mathcal{G}$  be a simple finite-dimensional Lie algebra over the complex numbers  $\mathbb{C}$ . Then  $\mathcal{G}_A$  can be given a Lie algebra structure (see (1.1)). Let  $d_1, \dots, d_n$  be derivations defined by  $[d_i, X(\underline{m})] = m_i X(\underline{m})$  and let  $D$  be the linear span of  $d_1, \dots, d_n$ . Then  $\tilde{\mathcal{G}}_A = \mathcal{G}_A \oplus D$  is a Lie algebra which we call a multi-loop algebra. The universal central extension of  $\mathcal{G}_A$  is called a toroidal Lie algebra and is studied in [BB, BC, E3, E4, EM]. So our multi-loop algebras are quotients of toroidal Lie algebras by centers.

Fix a Cartan subalgebra  $\underline{h}$  of  $\mathcal{G}$ . Let  $\tilde{\psi}$  be a  $\mathbb{Z}^n$ -graded homomorphism of  $U(\underline{h}_A) \rightarrow A$  (see Section 1 for details). Then we can define the universal highest weight module  $M(\tilde{\psi})$  for  $\tilde{\mathcal{G}}_A$ . Let  $V(\tilde{\psi})$  be the unique irreducible quotient of  $M(\tilde{\psi})$ . We then prove in Theorem 3.4 that any irreducible integrable module for  $\tilde{\mathcal{G}}_A$  is isomorphic to  $V(\tilde{\psi})$  by making use of a result from Chari [C].

We associate to  $\tilde{\psi}$  a map  $\psi$  from  $U(\underline{h}_A) \rightarrow \mathbb{C}$  by evaluating at 1 and an irreducible module  $(V(\psi), \pi)$  for  $\mathcal{G}_A$  (derivations do not act on  $V(\psi)$ ), and therefore it cannot be extended to  $\tilde{\mathcal{G}}_A$ ). Now consider  $V(\psi) \otimes A$  as a

$\tilde{\mathcal{G}}_A$ -module by

$$\begin{aligned} X(\underline{m})v(\underline{r}) &= \pi X(\underline{m})v(\underline{m} + \underline{r}) \\ d_i v(\underline{r}) &= r_i v(\underline{r}) \end{aligned}$$

for  $X \in \mathcal{G}$ ,  $v \in V(\psi)$ ,  $\underline{m}, \underline{r} \in \mathbb{Z}^n$ . We now observe that  $V(\psi) \otimes A$  is a completely reducible  $\tilde{\mathcal{G}}_A$  module (Proposition 1.8) from a result in [E3]. We further prove in Proposition (1.9) that  $V(\bar{\psi})$  is isomorphic to a component of  $V(\psi) \otimes A$ .

Assuming  $V(\psi)$  is an integrable module, we prove in Proposition 3.6 that  $V(\psi)$  is finite-dimensional. Then in Proposition 2.1 we prove that the finite-dimensional module is in fact a module for a semisimple Lie algebra. We conclude (Theorem 3.7) that any irreducible integrable module for  $\tilde{\mathcal{G}}_A$  comes in fact from a finite-dimensional module for a finite-dimensional semisimple Lie algebra (which is a quotient of  $\mathcal{G}_A$ ). Such modules are easily classified and parameterized by dominant integral weights.

## SECTION 1

Let  $\mathcal{G}$  be simple finite-dimensional Lie algebra over  $\mathbb{C}$ . Then  $\mathcal{G}_A$  can be made into a Lie algebra by defining

$$(1.1) \quad [X(\underline{m}), Y(\underline{s})] = [X, Y](\underline{m} + \underline{s})$$

for  $X, Y \in \mathcal{G}$ ,  $\underline{m}, \underline{s} \in \mathbb{Z}^n$ . Define derivations  $d_i$ ,  $1 \leq i \leq n$ , by

$$[d_i, X(\underline{m})] = m_i X(\underline{m}).$$

Let  $D$  be the linear span of  $d_1, \dots, d_n$ . Then  $\tilde{\mathcal{G}}_A = \mathcal{G}_A \oplus D$  is a Lie algebra which we call a multi-loop algebra. Fix a Cartan subalgebra  $\underline{h}$  of  $\mathcal{G}$ . Let  $\tilde{h} = \underline{h} \oplus D$ . Define null roots  $\delta_i \in \tilde{h}^*$  such that  $\delta_i(\underline{h}) = 0$  and  $\delta_i(d_j) = \delta_{ij}$ . For  $\underline{m} \in \mathbb{Z}^n$  let  $\delta_{\underline{m}} = \sum m_i \delta_i$ . For every positive root  $\alpha$  of  $\mathcal{G}$  let  $X_\alpha, Y_\alpha, h_\alpha = [X_\alpha, Y_\alpha]$  be a  $sl_2$ -triple so that  $[h_\alpha, X_\alpha] = 2X_\alpha$  and  $[h_\alpha, Y_\alpha] = -2Y_\alpha$ .

For any Lie algebra  $\mathcal{G}'$ , let  $U(\mathcal{G}')$  be the universal enveloping algebra. Note that  $A, \mathcal{G}_A$  and  $U(\mathcal{G}_A)$  are  $\mathbb{Z}^n$ -graded.

Let  $\bar{\psi}: U(\underline{h}_A) \rightarrow A$  be a  $\mathbb{Z}^n$ -graded Algebra homomorphism. Denote the image of  $\bar{\psi}$  as  $A_{\bar{\psi}}$ . We make  $A_{\bar{\psi}}$  into a  $\underline{h}_A$ -module by defining

$$(1.2) \quad \begin{aligned} h(\underline{r}) \cdot t^{\underline{m}} &= \bar{\psi}(h(\underline{r})) \cdot t^{\underline{m}} \\ d_i \cdot t^{\underline{m}} &= m_i t^{\underline{m}} \end{aligned}$$

for  $h \in \underline{h}$ ,  $\underline{m}, \underline{r} \in \mathbb{Z}^n$ .

(1.3) LEMMA (Lemma (1.2) of [E3]).  $A_{\bar{\psi}}$  is an irreducible  $\tilde{h}_A$ -module if and only if every homogeneous element of  $A_{\bar{\psi}}$  is invertible in  $A_{\bar{\psi}}$ .

Let  $\mathcal{G} = N^- \oplus \underline{h} \oplus N^+$ , where  $N^+$  is the sum of positive root spaces and  $N^-$  is the sum of negative root spaces. Let  $\bar{\psi}$  as above. Let  $N_A^+$  act trivially on  $A_{\bar{\psi}}$ . Now consider the following induced  $\tilde{\mathcal{G}}_A$ -module.  $M(\bar{\psi}) = U(\tilde{\mathcal{G}}_A) \otimes_B A_{\bar{\psi}}$ , where  $B = N_A^+ \oplus \tilde{h}_A$ .

(1.4) PROPOSITION. (1) As an  $\tilde{h}$ -module,  $M(\bar{\psi})$  is a weight module.

(2)  $M(\bar{\psi})$  is a free  $N_A^-$ -module, and as a vector space

$$M(\bar{\psi}) \cong U(N_A^-) \otimes_{\mathbb{C}} A_{\bar{\psi}}.$$

(3)  $M(\bar{\psi})$  has a unique irreducible quotient, which we call  $V(\bar{\psi})$ .

*Proof.* (1) and (2) are standard. For (3), note that any proper submodule cannot intersect  $A_{\bar{\psi}}$  (since  $A_{\bar{\psi}}$  is irreducible). Now the sum of proper submodules is again a proper submodule, as it cannot intersect  $A_{\bar{\psi}}$ .

(1.5) DEFINITION. A  $\tilde{\mathcal{G}}_A$ -module  $V$  is said to be the highest weight module if there exists a highest weight vector  $v$  such that

$$(1) \quad U(\tilde{\mathcal{G}}_A)v = V.$$

$$(2) \quad N_A^+v = 0.$$

(3)  $U(\tilde{h}_A)v \cong A_{\bar{\psi}}$  as an  $\tilde{h}_A$ -module for some  $\mathbb{Z}^n$ -graded homomorphism  $\bar{\psi}$ .

Clearly any such highest weight module is a quotient of  $M(\bar{\psi})$ . We will also remark that if  $\bar{\psi} = 0$  then  $V(\bar{\psi})$  is the trivial module.

We also need the notion of a non-graded highest weight module.

(1.6) DEFINITION. A module  $W$  is said to be non-graded highest weight module if there exists a weight vector  $v$  in  $W$  such that

$$(1) \quad U(\mathcal{G}_A)v = W.$$

$$(2) \quad N_A^+v = 0.$$

(3) There exists  $\psi$  in  $h_A^*$  such that  $h.v = \psi(h)v$  for all  $h$  in  $h_A$ .

Let  $\psi$  belong to  $\underline{h}_A^*$  and let  $\mathbb{C}(\psi)$  be a one-dimensional vector space. Let  $N_A^+$  act trivially on  $\mathbb{C}(\psi)$  and let  $\underline{h}_A$  act by  $\psi$ . Now consider the induced module for  $\mathcal{G}_A$  given by  $M(\psi) = U(\mathcal{G}_A) \otimes_{B^1} \mathbb{C}(\psi)$ , where  $B^1 = N_A^+ \oplus \underline{h}_A$ . By standard argument one shows that  $M(\psi)$  is an  $\underline{h}$  weight module and has a unique irreducible quotient, which we will denote by  $V(\psi)$ .

Let  $\bar{\psi}: U(\underline{h}_A) \rightarrow A_{\bar{\psi}}$  be a  $\mathbb{Z}^n$ -graded homomorphism. Consider  $E_v(1): A_{\bar{\psi}} \rightarrow \mathbb{C}$  given by

$$E_v(1) \cdot t^m = 1.$$

Let  $(V(\psi), \pi)$  be the unique irreducible  $\mathcal{G}_A$  module with respect to  $\psi$ . We will now make  $V(\psi)_A$  into a  $\tilde{\mathcal{G}}_A$  module.

$$(1.7) \quad \begin{aligned} g(\underline{m}) \cdot v(\underline{r}) &= \pi(g(\underline{m}))v(\underline{r} + \underline{m}), \\ d_i v(\underline{r}) &= r_i v(\underline{r}). \end{aligned}$$

It is now easy to check that (1.7) defines a module structure for  $\tilde{\mathcal{G}}_A$ .

By using results from [E3] we will prove that  $V(\bar{\psi})$  is isomorphic to a component of  $V(\psi)_A$  as  $\tilde{\mathcal{G}}_A$ -modules. Let  $\bar{\psi}$  be as above and let  $\psi = \bar{\psi} \circ E_v(1)$ .

(1.8) PROPOSITION. *Let  $A_{\bar{\psi}}$  be an irreducible  $\tilde{h}_A$ -module. Let  $G \subseteq \mathbb{Z}^n$  be such that  $\{t^{\underline{m}}, \underline{m} \in G\}$  is a set of coset representatives of  $A/A_{\bar{\psi}}$ . Let  $v$  be a highest weight vector of  $V(\psi)$ . Then*

(1)  $V(\psi)_A = \oplus_{\underline{m} \in G} Uv(\underline{m})$  as  $\tilde{\mathcal{G}}_A$ -modules, where  $Uv(\underline{m})$  is the submodule generated by  $v(\underline{m})$ .

(2) Each  $U(v(\underline{m}))$  is an irreducible  $\tilde{\mathcal{G}}_A$ -module.

*Proof.* The proof follows from Theorem 1.8 of [E3]. There it is proved only for special  $\bar{\psi}$ , but the proof works for any  $\bar{\psi}$  such that  $A_{\bar{\psi}}$  is an irreducible  $\tilde{h}_A$ -module.

(1.9) PROPOSITION. *Assume  $A_{\bar{\psi}}$  is an irreducible  $\tilde{h}_A$ -module. Then  $V(\bar{\psi}) \cong U(v(0))$  as a  $\tilde{\mathcal{G}}_A$ -module.*

*Proof.* Clearly  $U(\tilde{h}_A)v(0) \cong (A_{\bar{\psi}}, \bar{\psi})$  as a  $\tilde{h}_A$ -module and  $N_A^+v(0) = 0$ . Hence  $U(v(0))$  is an irreducible (graded) highest weight module with highest weight  $\bar{\psi}$ . Hence by Proposition 1.4,  $V(\bar{\psi}) \cong U(v(0))$ .

We need the following lemma, which will be used in the next section.

(1.10) LEMMA. *Let  $\psi, \bar{\psi}$  be as above. Then  $V(\bar{\psi})$  has finite-dimensional weight spaces as an  $\tilde{h}$ -module if and only if  $V(\psi)$  has finite-dimensional weight spaces as an  $\underline{h}$ -module.*

*Proof.* Assume  $V(\bar{\psi})$  has finite-dimensional weight spaces with respect to  $\tilde{h}$ .

Let  $\tilde{G} = \{\underline{m} \mid t^{\underline{m}} \in A_{\bar{\psi}}\} \subseteq \mathbb{Z}^n$ . Since  $A_{\bar{\psi}}$  is an irreducible  $\tilde{h}_A$ -module, by Lemma 1.3,  $\tilde{G}$  is a subgroup.

Case 1.  $\tilde{G}$  has a finite index in  $\mathbb{Z}^n$ . Suppose  $V(\psi)_\lambda$  is infinite-dimensional for some  $\lambda$ . By the Poincaré–Birkhoff–Witt (PBW) theorem  $V(\psi)_\lambda$  is spanned by vectors

$$Y_{\alpha_1}(\underline{m}_1) \cdots Y_{\alpha_k}(\underline{m}_k)v$$

(since  $X_\alpha(\underline{m})$  kills  $v$  and  $h(\underline{m})$  acts as a scalar), where  $-\sum \alpha_i + \psi_0 = \lambda$  and  $\psi \mid \underline{h} = \psi_0$ . Suppose  $Y_{\alpha_1}(\underline{m}_1) \cdots Y_{\alpha_k}(\underline{m}_k)v$  are linearly independent (\*). Since  $\tilde{G}$  is of finite index, we can assume that infinitely many  $\sum \underline{m}_i$ 's are in the same coset. Now choose  $\underline{s}$  such that  $-\sum \underline{m}_i + \underline{s} \in \tilde{G}$ . Now choose  $H(-\underline{m} + \underline{s}) \in U(H)$  such that  $\sum \underline{m}_i = \underline{m}$  and  $\underline{\psi}(H(-\underline{m} + \underline{s}))$  is nonzero and belongs to  $A_{\underline{\psi}}$  of degree  $-\underline{m} + \underline{s}$ .

Consider

$$Y_{\alpha_1}(\underline{m}_1) \cdots Y_{\alpha_k}(\underline{m}_k)H(-\underline{m} + \underline{s})v, \quad \sum \underline{m}_i = \underline{m},$$

which belongs to  $V(\tilde{\psi})_{\lambda + \delta_{\underline{s}}}$ , a finite-dimensional space by assumption. Thus there exist scalars that are not all zero such that

$$(1.11) \quad \sum_{\substack{\alpha = (\alpha_1, \dots, \alpha_k) \\ \underline{m} = (\underline{m}_1, \dots, \underline{m}_k)}} a_{\alpha, \underline{m}} Y_{\alpha_1}(\underline{m}_1) \cdots Y_{\alpha_k}(\underline{m}_k)H(-\underline{m} + \underline{s})v = 0.$$

This clearly produces a nontrivial relation contradicting (\*).

*Case 2.* Index  $\tilde{G}$  is infinite in  $\mathbb{Z}^n$ . We can certainly assume that  $h(\underline{n}) \neq 0$ , and there exist  $X, Y$  root vectors such that  $[X, Y] = h$ ,  $[h, X] = 2X$ , and  $[h, Y] = -2Y$ .

Choose infinitely many  $\underline{m}$  such that they belong to distinct nontrivial cosets. In particular,  $h(\underline{m})v = 0(**)$  for all  $h \in \underline{h}$ .

*Claim 1.*  $Y(\underline{m})v$  is a linearly independent set in  $V(\psi)$ . Consider a relation

$$\sum a_{\underline{m}} Y(\underline{m})v = 0.$$

Then  $X(\underline{r})$  has to kill it, which means

$$\sum a_{\underline{m}} h(\underline{m} + \underline{r}) = 0.$$

Now choose  $\underline{r}$  such that  $\underline{m}_1 + \underline{r} = \underline{n} \in \tilde{G}$  for some  $\underline{m}_1$ . Then  $\underline{m} + \underline{r}$  does not belong to  $\tilde{G}$  for all  $\underline{m} \neq \underline{m}_1$ . This implies  $a_{\underline{m}_1} = 0$  by (\*\*). This proves claim 1. It also proves that  $Y(\underline{m})v$  is nonzero.

*Claim 2.*  $Y(\underline{m})Y(-\underline{m} + \underline{r})v$  is a linearly independent set in  $V(\tilde{\psi})$  for some  $\underline{r}$ . Suppose a relation holds. Then there exist nonzero scalars  $a_{\underline{m}, \underline{r}}$  such that

$$\sum a_{\underline{m}, \underline{r}} Y(\underline{m})Y(-\underline{m} + \underline{r})v = 0.$$

Applying  $X(\underline{s})$  to the above yields

$$\begin{aligned} & \sum a_{\underline{m}} Y(-\underline{m} + \underline{r})h(\underline{m} + \underline{s}) - 2 \sum a_{\underline{m}} Y(\underline{r} + \underline{s}) \\ & + \sum a_{\underline{m}} Y(\underline{m})h(-\underline{m} + \underline{r} + \underline{s}) = 0. \end{aligned}$$

Now choose  $\underline{r} = 0, \underline{s} = \underline{n}$ . Then as  $Y(\underline{n})v$  is nonzero it follows (by \*\*) that  $\sum a_m = 0$ . Now choose  $\underline{s} \ni \underline{m}_1 + \underline{s} \in \tilde{G}$  for some  $\underline{m}_1$  and  $\underline{m} + s$  does not belong to  $\tilde{G}$  for all  $\underline{m} \neq \underline{m}_1$ . Then by (\*\*) we have  $a_{\underline{m}_1} = 0$ , a contradiction.

Thus in this case  $V(\tilde{\psi})$  cannot have finite-dimensional weight spaces.

Conversely assume that  $V$  is finite-dimensional for some  $\lambda$  in  $V(\psi)$ . Consider  $V_\lambda \otimes A = \oplus_\delta (V_\lambda \otimes A)_{\lambda+\delta}$ . Clearly each  $(V_\lambda \otimes A)_{\lambda+\delta}$  is finite-dimensional. In particular  $(Uv(0))_{\lambda+\delta} = U(v(0)) \cap (V_\lambda \otimes A)_{\lambda+\delta}$  is finite-dimensional.

We also need the following:

(1.12) LEMMA. *Let  $V$  be an irreducible  $\mathcal{G}_A$ -module with finite-dimensional weight spaces with respect to  $h$ . Then there exists a co-finite ideal  $I$  of  $A$  such that  $V$  is a module for  $\mathcal{G} \otimes A/I$ , a finite-dimensional Lie algebra.*

*Proof.* Let  $V_\lambda$  be a finite-dimensional weight space of  $V$ . Then  $V_\lambda$  is invariant under  $h_A$ . Since  $h_A$  is abelian, in particular solvable, by Lie's theorem there exists a nonzero  $v$  in  $V_\lambda$  such that

$$(1.13) \quad h(\underline{m})v = \lambda(h(m))v.$$

Fix a root  $\alpha$  of  $\mathcal{G}$  and consider the root vector  $X_\alpha$ . Let  $i$  be fixed such that  $1 \leq i \leq n$ . Consider the set

$$\{X_\alpha(n_i)v, n_i \in \mathbb{Z}\} \subseteq V_{\lambda+\alpha}.$$

By assumption  $V_{\lambda+\alpha}$  is finite-dimensional, so there exists a nonzero polynomial  $P_i(\alpha) = \sum_j a_j t_i^j$  such that  $X_\alpha \otimes P_i(\alpha)v = 0$  (here  $X_\alpha \otimes P_i(\alpha) = \sum_j a_j X_\alpha \otimes t_i^j$ ). Let  $(P)$  denote the ideal generated by  $P$  inside of  $A$ .

*Claim 1.*  $X_\alpha \otimes (t^n P_i(\alpha))v = 0$ . Consider the calculation

$$\begin{aligned} 0 &= h_\alpha(\underline{n})X_\alpha \otimes P_i(\alpha)v = X_\alpha \otimes P_i(\alpha).h_\alpha(\underline{n})v + 2X_\alpha \otimes t^n P_i(\alpha) \cdot v \\ &= \lambda(h_\alpha(n))X_\alpha \otimes P_i(\alpha)v + 2X_\alpha \otimes t^n P_i(\alpha) \cdot v. \end{aligned}$$

This proves the claim as  $X_\alpha \otimes P_i(\alpha)v = 0$ .

*Claim 2.*  $h_\alpha \otimes (P_i(\alpha) \text{ and } P_i(-\alpha))v = 0$ . Consider

$$\begin{aligned} 0 &= X_\alpha \otimes t^n P_i(\alpha) \cdot X_{-\alpha} \otimes P_i(-\alpha)v - X_{-\alpha} \otimes P_i(-\alpha)X_\alpha \otimes t^n P_i(\alpha)v \\ &= h_\alpha \otimes t^n P_i(\alpha)P_i(-\alpha)v. \end{aligned}$$

This proves Claim 2.

Consider  $\prod_{\alpha} P_i(\alpha) = P_i$ . Then  $\mathcal{G} \otimes (P_i)v = 0$ . To see this just observe that  $(P_i(\alpha)) \supseteq (P_i)$ . Now let  $I$  be the ideal generated by  $P_1, \dots, P_n$ . By the Chinese remainder theorem we see that  $I$  is co-finite in  $A$ . And the dimension of the quotient is bounded by the products of the degree  $P_i$ . Consider

$$W = \{v \in V \mid \mathcal{G} \otimes (P_I)v = 0\},$$

which is nonzero (by the above) and is a sub-module of  $V$ . Hence by irreducibility  $W = V$ . Thus the module is in fact a module for  $\mathcal{G} \otimes A/I$ .

## SECTION 2

In this section we consider classification of finite-dimensional modules for  $\mathcal{G}_A$ . We will first construct finite-dimensional modules for  $\mathcal{G}_A$ . For  $1 \leq i \leq k$  let  $\underline{a}_i = (a_i(1), \dots, a_i(n))$  be an  $n$ -tuple of nonzero complex numbers  $\underline{m} \in \mathbb{Z}^n$  and let  $a_i^{\underline{m}} = a_i(1)^{m_1} \dots a_i(n)^{m_n}$  be the product. Consider the Lie algebra homomorphism  $\varphi: \mathcal{G}_A \rightarrow \oplus \mathcal{G} = \mathcal{G}_k$  ( $k$  copies) given by  $\phi(X \otimes t^{\underline{a}}) = (a_i^{\underline{m}} X)$ .  $\varphi$  is not surjective if and only if  $a_i(\ell) = a_j(\ell)$  for some  $i \neq j$  and for all  $\ell$  (see [E3]). So when  $\varphi$  is surjective, any irreducible finite-dimensional module is an irreducible module for  $\mathcal{G}_A$ . Hence finite-dimensional modules exist. In this section we will prove that any finite-dimensional module has to come from the construction given above.

Let  $V$  be an irreducible finite-dimensional module for  $\mathcal{G}_A$ , with defining homomorphism

$$\pi: \mathcal{G}_A \rightarrow \cdot \text{ End } V.$$

Since  $V$  is finite-dimensional,  $\text{Ker } \pi$  is co-finite ideal in  $\mathcal{G}_A$ . It is easy to see that any ideal of  $\mathcal{G}_A$  is of the form  $\mathcal{G} \otimes I$  for some ideal  $I$  of  $A$ . So we have a map from  $\mathcal{G} \otimes A/I \rightarrow \text{End } V$ , and  $\mathcal{G} \otimes A/I$  is a finite-dimensional Lie algebra. We will now prove that the solvable radical of  $\mathcal{G} \otimes A/I$  is zero on  $V$ .

(2.1) PROPOSITION. *Let  $V$  be an irreducible finite-dimensional module for a finite-dimensional Lie algebra  $\mathcal{G} \otimes A/I$ . Then the solvable radical  $R$  of  $\mathcal{G} \otimes A/I$  is zero on  $V$ .*

*Proof.* Since  $R$  is an ideal, it is of the form  $\mathcal{G} \otimes I'/I$  for some ideal  $I'$  of  $A$ . Since  $V$  is finite-dimensional and  $R$  is solvable, by Lie's theorem there exists a nonzero vector  $v$  in  $V$  such that

$$(2.2) \quad g \otimes P(t)v = \lambda(g, P(t))v \text{ for some scalar } \lambda(g, P(t)), g \in \mathcal{G}, P(t) \in I'.$$

Let  $X_{\alpha}$  be a root vector with  $\alpha$  a root in  $\mathcal{G}$ .

Consider  $(X_\alpha \otimes P(t))^m v = \lambda(X_\alpha, P(t))^m v$ , since  $V$  is finite-dimensional  $(X_\alpha \otimes P(t))^m v = 0$  for large  $m$ . This implies  $\lambda(X_\alpha, P(t)) = 0$ . Hence  $X_\alpha \otimes P(t)v = 0$ . Similarly  $Y_\alpha \otimes P(t)v = 0$ . We will now prove that

$$h_\alpha \otimes P(t)v = 0.$$

From (2.2) we have  $h_\alpha \otimes P(t)v = \lambda v$  for  $\lambda = \lambda(h_\alpha, P(t))$ .

*Claim 1.*  $h_\alpha \otimes P(t)Y_\alpha^m v = \lambda Y_\alpha^m v$ . We prove this by induction on  $m$ . Let  $m = 1$  and consider

$$h_\alpha \otimes P(t)Y_\alpha v = Y_\alpha h_\alpha \otimes P(t)v - 2Y_\alpha \otimes P(t)v = \lambda Y_\alpha v.$$

Assume the claim is true for  $m$  and consider

$$h_\alpha \otimes P(t)Y_\alpha^{m+1} v = Y_\alpha h_\alpha \otimes P(t)Y_\alpha^m v - 2Y_\alpha \otimes P(t)Y_\alpha^m v = \lambda Y_\alpha^{m+1} v$$

(by induction and the fact that  $Y_\alpha \otimes P(t)v = 0$ ).

*Claim 2.*  $X_\alpha \otimes P(t)Y_\alpha^m v = m\lambda Y_\alpha^{m-1} v$ . The proof is by induction on  $m$ . Let  $m = 1$  and consider the calculation

$$X_\alpha \otimes P(t)Y_\alpha v = Y_\alpha X_\alpha \otimes P(t)v + h_\alpha \otimes P(t)v = \lambda v.$$

Now assume the claim for  $m$  and consider the calculation

$$\begin{aligned} X_\alpha \otimes P(t)Y_\alpha^{m+1} v &= Y_\alpha X_\alpha \otimes P(t)Y_\alpha^m v + h_\alpha \otimes P(t)Y_\alpha^m v \\ &= m\lambda Y_\alpha^m v + \lambda Y_\alpha^m v = (m+1)\lambda Y_\alpha^m v. \end{aligned}$$

The claim is proved. Since  $V$  is finite-dimensional there exists  $n_0 > 0$  such that  $Y_\alpha^{n_0} v = 0$  and  $Y_\alpha^{n_0-1} v = w \neq 0$ . Consider

$$\begin{aligned} \lambda Y_\alpha^{n_0-1} v &= h_\alpha \otimes P(t)Y_\alpha^{n_0-1} v \quad \text{by Claim 1} \\ &= [X_\alpha \otimes P(t), Y_\alpha] Y_\alpha^{n_0-1} v \\ &= (X_\alpha \otimes P(t)Y_\alpha - Y_\alpha X_\alpha \otimes P(t)) Y_\alpha^{n_0-1} v \\ &= -\lambda(n_0 - 1) Y_\alpha^{n_0-1} v \end{aligned}$$

(by the choice of  $n_0$  and Claim 2). This implies either  $n_0 = 0$  or  $\lambda = 0$ . But by choice  $n_0 \neq 0$  and hence  $\lambda = 0$ . Thus we have proved that  $\mathcal{G} \otimes P(t)v = 0$  for  $P(t) \in I'$ . That is,  $Rv = 0$ . Now consider

$$W = \{v \in V; R.v = 0\}.$$

Clearly  $W$  is a nonzero sub-module of  $V$ . But  $V$  is irreducible; thus  $W = V$  and  $R.V = 0$ . This completes the proof of the proposition.

We know that a finite-dimensional Lie algebra modulo its solvable radical is semi-simple. Thus a finite-dimensional irreducible module for  $\mathcal{G}_A$  is actually a module for a semi-simple Lie algebra.



## SECTION 3

Below we give classification of integrable modules for  $\tilde{\mathcal{G}}_A$  with finite-dimensional weight spaces.

(3.1) DEFINITION. *A  $\tilde{\mathcal{G}}_A$  module  $V$  is called integrable if*

(1)  *$V$  is a weight module with respect to  $\tilde{h}$ .*

(2) *For any nonzero root  $\alpha$ , the elements  $X_\alpha(\underline{n})$  act locally nilpotent; that is for every  $v$  in  $V$  there exists  $N = N(\alpha, \underline{n}, v)$  such that  $(X_\alpha(\underline{n}))^N v = 0$ .*

(3.2) PROPOSITION. *Let  $V$  be an irreducible integrable module for  $\tilde{\mathcal{G}}_A$  with finite-dimensional weight spaces with respect to  $\tilde{h}$ . Then there exists a nonzero weight vector such that  $N_A^+ v = 0$ .*

*Proof.* The proof follows from Theorem 2.4(ii) of [C], where it was proved for  $n = 1$ , but the same proof will hold for any  $n$ . Just note that  $(\lambda, \delta) = 0$  for any weight  $\lambda$  of  $V$ .

Let  $V$  be an irreducible integrable  $\mathcal{G}_A$ -module. Consider  $\mathcal{G}_A = N_A^- \oplus \underline{h}_A \oplus N_A^+$ . Then by the PBW theorem it will follow that for any highest weight vector  $v$  in  $V$ ,  $U(N_A^-)U(\underline{h}_A)v = V$ . Let  $W = U(\underline{h}_A)v$ . Then  $W$  is an  $\tilde{h}_A$ -module. Since  $V$  is irreducible one can see that  $W$  is an irreducible  $\tilde{h}_A$ -module. Just observe that for any nonzero vector  $U(\underline{h}_A)v$  is again a highest weight vector.

Let  $I = \{X \in U(\underline{h}_A) \mid X \cdot v = 0\}$ . Then clearly  $U(\underline{h}_A)/I \cong W$  as an  $\tilde{h}_A$ -module. Since  $I$  is an ideal, and  $U(\underline{h}_A)$  is commutative, we conclude that  $W$  is a  $\mathbb{Z}^n$ -graded commutative simple algebra

(3.3) LEMMA. *Any graded simple commutative and associative algebra  $B$  is isomorphic to a subalgebra  $A_{\tilde{\psi}}$  of  $A$  for some  $\tilde{\psi}$  (as defined in Section 1). Furthermore, every nonzero homogeneous element in  $A_{\tilde{\psi}}$  is invertible in  $A_{\tilde{\psi}}$ .*

*Proof.* Let  $X$  be a nonzero homogeneous element of  $B$ . Then  $XB$  is a  $\mathbb{Z}^n$ -graded ideal of  $B$ . Hence  $XB = B$ , so that  $X$  is invertible. Now consider  $B_0$  as the zero component of  $B$ . Then any nonzero element  $b \in B_0$  is invertible. Hence  $B_0$  is a field. Furthermore, the dimension of each nonzero graded space is one as  $X$  defines an isomorphism between graded spaces. This proves the lemma. Thus  $W \cong (A_{\tilde{\psi}}, \tilde{\psi})$  as a  $\tilde{h}_A$ -module. We have the following

(3.4) THEOREM. *Any integrable irreducible module for  $\tilde{\mathcal{G}}_A$  with finite-dimensional weight spaces is isomorphic to  $V(\tilde{\psi})$ , where  $\tilde{\psi}$  is a  $\mathbb{Z}^n$ -graded homomorphism  $U(\underline{h}_A) \rightarrow A_{\tilde{\psi}}$  and  $A_{\tilde{\psi}}$  is an irreducible  $\tilde{h}_A$ -module.*

*Remark.* For  $n = 1$ , this theorem is due to [C] and [CP]. See also [E1].

Recall  $E_v(1): V(\psi) \otimes A \rightarrow V(\psi)$  from Section 1.

(3.5) LEMMA. *Let  $W$  be a nonzero  $\tilde{\mathcal{G}}_A$ -module of  $V(\psi)_A$ . Then  $E_v(1)W = V(\psi)$ .*

*Proof.* Since  $V(\psi)$  is an irreducible  $\mathcal{G}_A$ -module, it is sufficient to prove that  $E_v(1)W \neq 0$ . But this is clear, as  $W$  contains a weight vector of the form  $w(\underline{n})$  and  $E_v(1) \cdot w(\underline{n}) = w$ .

One can define integrable modules for  $\mathcal{G}_A$ . It is easy to see that if  $W$  is an integrable module for  $\tilde{\mathcal{G}}_A$  then  $E_v(1)W$  is an integrable module for  $\mathcal{G}_A$  (this is because  $E_v(1)$  is a  $\mathcal{G}_A$ -module map).

(3.6) PROPOSITION. *Suppose  $V(\bar{\psi})$  is an irreducible integrable module of  $\tilde{\mathcal{G}}_A$  with finite-dimensional weight spaces. Then  $V(\psi)$  is finite-dimensional.*

*Proof.* We have already noted that  $V(\psi)$  is integrable and irreducible for  $\mathcal{G}_A$ . By Lemma 1.10,  $V(\psi)$  has finite-dimensional weight spaces. Now by Lemma 1.12 there exists a co-finite ideal  $I$  of  $A$  such that  $V(\psi)$  is a module for  $\mathcal{G} \otimes A/I$ . By the PBW theorem we have  $U(N^- \otimes A/I)U(h \otimes A/I)U(N^+ \otimes A/Iv) = V(\psi)$ , where  $v$  is a highest weight vector of  $V(\psi)$ . Since  $v$  is a highest weight vector,  $V(\psi) = U(N^- \otimes A/I)v$ . But each vector of  $N^- \otimes A/I$  acts locally nilpotently and hence  $V(\psi)$  has to be finite-dimensional.

*Remark.* For  $n = 1$  this proposition is due to [E1].

Thus we have proved the following:

(3.7) THEOREM. *Let  $V$  be an irreducible integrable module for  $\tilde{\mathcal{G}}_A$  with finite-dimensional weight spaces with respect to  $\hat{h}$ . Then there exists  $\bar{\psi}: U(h_A) \rightarrow A$ , a  $\mathbb{Z}^n$ -graded homomorphism such that  $V \cong V(\bar{\psi})$  as a  $\tilde{\mathcal{G}}_A$ -module. Furthermore,  $V(\psi)$  is finite-dimensional. In fact  $V(\psi)$  is an irreducible module for some finite-dimensional semisimple quotient of  $\mathcal{G}_A$ .*

*Proof.* The proof follows from Theorem 3.4, Proposition 3.6, and Proposition 2.1.

*Note added in proof:* In [E5] we have extended these results for toroidal Lie algebras, that is, for the universal central extension of  $\mathcal{G}_A$ . Furthermore, we have proved that the finite-dimensional semisimple Lie algebra that appears in Theorem 3.7 is exactly the one defined by  $\varphi$  in the first part of Section 2.

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